

Uttar Pradesh Shasan

Urja Anubhag-3

In pursuance of the provisions of clause (3) of Article 348 of the Constitution of India, the Governor is pleased to order the publication the following English translation of Notification no. 10/2024/590/24-P-3-2024 dated 18 July, 2024 :

Notification

No. 10/2024/590/24-P-3-2024-113 Vivdh/2018

Lucknow ; Dated: 18 July, 2024

In exercise of the powers under section 19 of the Uttar Pradesh Lifts and Escalators Act, 2024, the Governor is pleased to make the following rules with a view to provide for the registration and regulation of the construction, installation, maintenance and safe working of lifts and escalators and all machinery and apparatus pertaining thereto in the State of Uttar Pradesh and for matters connected therewith and incidental thereto:

THE UTTAR PRADESH LIFTS AND ESCALATORS RULES, 2024

Short title and commencement	1(1) These rules may be called the Uttar Pradesh Lifts and Escalators Rules, 2024. (2) They shall come into the force at once.
Definitions	2(1) In these rules , unless the context otherwise requires,—
	(a) " Act " means the Uttar Pradesh Lifts and Escalators Act, 2024;
	(b) " Annexure " means an Annexure appended to these rules;
	(c) " OEM " means the Original Equipment Manufacturer ;
	(d) " Inspector " means Electrical Inspector nominated by the Government of Uttar Pradesh.
(2)	Words and expressions used herein but not defined shall have the same meaning as respectively assigned to them in the Act.

1- यह शासनादेश इलेक्ट्रानिकली जारी किया गया है, अतः इस पर हस्ताक्षर की आवश्यकता नहीं है ।

2- इस शासनादेश की प्रमाणिकता वेब साइट <http://shasanadesh.up.gov.in> से सत्यापित की जा सकती है ।

Registration by owner to erect lift or escalator	3 (1)	Every owner of a place intending to install a lift or an escalator in any premise shall make an application in the form as mentioned in Annexure-1 to these rules giving full details or information as specified .
	(2)	This application shall be submitted to the local Assistant Director, Electrical Safety having jurisdiction.
Intimation on completion of commissioning and before use of lift or escalator	4 (1)	The owner, who has registered to install a lift or escalator under section 3 of the Act, shall submit the report of completion of commissioning, before use of lift or escalator, in a form as mentioned in Annexure-2 to these rules.
	(2)	This completion of commissioning intimation report will be accompanied by an installation completion report from the installation agency as given in section 11 of the Act. The installation agency shall give an undertaking that all safety features as enumerated in the Act and rules have been built in the lift or escalator.
	(3)	The owner or his authorized agent shall submit an affidavit to the effect that he shall comply with all provisions of the Act and rules during day-to-day operation of lift or escalator and cooperate with the authorities as and when required.
	(4)	A fee of Rs 5,000 for each lift or escalator shall be deposited along with the completion of commissioning intimation report.
	(5)	This report shall be submitted to the local Assistant Director, Electrical Safety having jurisdiction.
Disabled friendly lifts	5.	There shall compulsorily be at least one lift in any public premise which shall be disabled friendly and it shall be compliant of all codes and directives in this regard.
Maintenance of lift or escalator in public premises	6(1)	The owner shall compulsorily take comprehensive AMC from the OEM or his authorized service provider. He shall submit every year a copy of the AMC for his lift or escalator or any other arrangement made for the maintenance of lifts and escalators commissioned in public premise to the local Assistant Director, Electrical Safety having jurisdiction on a prescribed form as mentioned in Annexure-3 to these rules.

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	(2)	A logbook of periodic maintenance shall be maintained on a prescribed form as mentioned in Annexure-4 to these rules and it shall be displayed near lift or escalator installed in public premise and the same shall be produced when asked by the local Assistant Director, Electrical Safety having jurisdiction or any other Electrical Inspector having jurisdiction or local District Magistrate or local Sub - Divisional Magistrate or any Executive Magistrate authorized by local District Magistrate.
	(3)	The owner shall appoint operators to operate the lift or escalator in public premises mandatorily. The name and mobile number of the operators on duty shall be displayed prominently. These operators shall be duly trained to operate the lift or escalator or attend to any emergencies including entrapment. In case any operator is changed, the new person shall be trained before he joins his duty as operator.
	(4)	There shall be at least one operator at any point of time during operation for all the lifts installed in proximity as a cluster, and, there shall be at least one operator for all the escalators in a public premise.
	(5)	The owner may reduce the number of operators during night hours (from 10 pm to 6 am next day) depending on the operational load of the lifts or escalators.
	(6)	The operator shall reduce in writing in Part-C of the logbook as in Annexure-4 to these rules, any issues or any other problems he faced in operation during his duty hours. The owner shall get them attended to and resolved without any delay.
Registration of manufacturer, commissioning and installing agencies	7(1)	All manufacturers, commissioning and installing agencies shall get them registered with Director, Electrical Safety.
	(2)	The manufacturers shall apply on a prescribed form as mentioned in Annexure-5 to these rules. They shall apply along with a fee of Rs 25,000. This registration will be valid for a period of five years and shall be renewed thereafter. Similar fee shall be paid for each renewal.

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	<p>(3) The desired qualifications for manufacturers shall be as follows:</p> <ol style="list-style-type: none"> a. the manufacturer shall be responsible for supply, installation, testing and commissioning (SITC); b. the manufacturer must have adequate number of competent, qualified and trained technical team for supply, installation, testing and commissioning (SITC); c. the manufacturer must sell the equipment in his own brand name ; d. the manufacturer must have indicated a suggestive useful life of operation of the equipment he has supplied, installed and commissioned and it shall not be less than 20 years; e. a comprehensive AMC must be compulsorily provided by OEM to the owner, either itself or through its authorized service provider, till the useful life of the lift or escalator and there must be uninterrupted supply of spare parts during the useful life of the lift or escalator; f. the purchaser/consumer must be given suggestions in writing by manufacturer as to what to do when the equipment has lived its life as indicated by manufacturer; g. there must be a site visit by the manufacturer on expiry of the time period of suggestive life or 15 years of commissioning, whichever is earlier and a report of suggestions must be given in writing to the purchaser/ consumer so as to ensure safe travel in the lift or escalator; h. the details of “Dos and Don’ts” and emergency contact numbers or any toll-free number within the equipment must be placed by Commissioning Team
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		<p>of manufacturer so that it is within the reach of the user or any operator;</p> <p>i. the owner and his operating staff must be trained by the Commissioning Team of manufacturer about the rescue operations during emergency.</p>
Registration of agency involved in AMC	8(1)	All agencies involved for AMC shall get them registered with Director, Electrical Safety.
	(2)	The agencies involved for AMC shall apply on a prescribed form as mentioned in Annexure-6 to these rules . They shall apply along with a fee of Rs 25,000. This registration shall be valid for a period of one year from the date of registration. Similar fee shall be paid for each renewal.
	(3)	<p>The desired qualifications for agencies involved for AMC will be as below:</p> <p>a. Save as otherwise provided in the Act, the relevant code of practice with respect to building in which the lift or escalator has been installed, the lift and escalator itself, any other electrical or mechanical apparatus and installations and any other code of relevance, shall be adhered to by the agency undertaking AMC ;</p> <p>b. it shall offer Comprehensive/Semi-Comprehensive / Non-Comprehensive AMC and it shall brief the consumer about the kind of services included in these plans ;</p> <p>c. there must be maintained an adequate number of competent, qualified and trained technical manpower for AMC. It shall include ITI trained Technician in fitter or electrical trades, Diploma Holders and Engineers in Electrical or Mechanical or Electronics Engineering or Technology, Team Leaders, Group Leaders etc. The training period of the fresh recruits shall be of minimum six months ;</p>

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	<p>d. there shall be enough number of technical teams depending upon the area and number of consumers being catered to such that it shall offer minimum response time to attend to any complaint ;</p> <p>e. the response time within 50 km radius of the service station shall not be beyond 4 hours and it shall not be more than 12 hours for the cases between 50 to 100 km radius and 24 hours for the cases beyond 100 kms. In case of any emergency, the team must reach the site of emergency as soon as possible, leaving routine work behind ;</p> <p>f. AMC must have maintained adequate inventory to ensure to rectify minor repairs within 48 hours and major repairs within 15 days;</p> <p>g. agency must ensure at least one preventive visit to the site of the machine within a month. It may be more if need arises. The senior most member of the team visiting the site shall record his findings /observation/suggestions, if any in the logbook kept at the site.</p> <p>h. the team visiting site shall ensure that all the rescue devices are intact and in working conditions and if it is found that they are not working, it shall be ensured that they have become functional within minimum time; and if the owner does not cooperate in such cases, the matter shall be reported to the local District Magistrate and local Assistant Director, Electrical Safety having jurisdiction without any delay ;</p> <p>i. Whenever there is any upgradation in technology as far as security features are concerned, the owner shall be advised in writing about the same by the agency responsible for AMC.</p>
Accident Logbook	9. A separate logbook of accidental history as mentioned in Annexure-7 to these rules shall be maintained and

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	displayed near lift or escalator installed in Public Premise and the same shall be produced when asked by the local Assistant Director, Electrical Safety having jurisdiction or any other Electrical Inspector having jurisdiction or local District Magistrate or local Sub Divisional Magistrate or any Executive Magistrate authorized by local District Magistrate.
Late Fees	10. A late fee, in the following manner, shall be charged to the owner in case he violates any timeline specified under the Act or rules made thereunder:-
	(a) if the delay is of 07 days or less, a late fee of Rs 100 per day shall be charged;
	(b) if the delay is of more than 07 days and upto 15 days, a late fee of Rs 200 per day shall be charged for the entire period;
	(c) if the delay is of more than 15 days and upto 30 days, a late fee of Rs 500 per day shall be charged for the entire period;
	(d) if the delay is of more than 30 days the operation of lift or escalator shall be stopped immediately and it can be restarted only after the compliance of the provision along with a late fee of Rs 10,000.

By **order**,

(Narendra Bhooshan)
Principal Secretary .

Annexure-1

(see rule 3)

Application to install new lift or escalator/for making additions or alternations to the installed lift or escalator

(To be submitted to the local Assistant Director, Electrical Safety)

1	Details of the owner	
(a)	Name	
(b)	Local address	
(c)	Permanent address	
(d)	Mobile number	
(e)	Mail-id	
2	Details of the authorized agent of the owner	
(a)	Name	
(b)	Local address	
(c)	Permanent address	
(d)	Mobile number	
(e)	Mail-id	
3	Whether it is registration of a new lift or escalator	
4	The address of the premise where lift or escalator is to be commissioned	
5	Whether it is a 'Private Premise' or 'Public Premise'	
7	Whether it is a case of the lift or escalator being modified or altered	
(a)	registration number of existing lift or escalator	
(b)	the address of the premise where it is commissioned	
8	In case the lift or escalator is being shifted	
(a)	registration number of existing lift or escalator	
(b)	the address of the premise where it was commissioned earlier	
(c)	the address of the new premise where it is to be commissioned	

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9	Whether building map has been approved by competent authority	
10	If yes, name of approving authority, approval number and date	
11	Type and make of lift or escalator	
12	Details of the manufacturer of the lift or escalator	
	(a) name	
	(b) address	
	(c) registration number of the manufacturer	
	(d) Name, contact details, and address of local authorized representative of the manufacturer	
13	Details of the commissioning/modifying/altering/shifting agency	
	(a) name	
	(b) address	
	(c) registration number of the commissioning/modifying/altering/shifting agency	
	(d) Name, contact details, and address of local authorized representative of the commissioning/modifying/altering/shifting agency	
14	Proposed date of commencement of commissioning	
15	Proposed date of completion of the commissioning	
16	Details of annexures	
	(a) Approved building plan	
	(b) Drawing details of the lift or escalator	
	(c) Affidavit of the manufacturer that the lift or escalator is BIS compliant and is as per norms of National and/or State Building Codes	
	(d) Technical details of the lift or escalator as provided by the manufacturer	

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	(e) Safety features of the lift or escalator as provided by the manufacturer	
	(f) Separate declarations on notarized affidavit by the three undersignees that the information provided with respect to them as above is true to their knowledge and nothing has been concealed.	

Signature of the authorized representative of manufacturer with date

Signature of the authorized representative of commissioning/modifying/altering/shifting agency with date

Signature of the owner/his authorized agent with date

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Annexure-2

{see rule 4(1)}

Completion of commissioning intimation report to install lift or for making additions or alternations to the installed lift or escalator

(To be submitted to the local Assistant Director, Electrical Safety)

1	Registration Number	
2	The actual date of commissioning of the lift or escalator	
3	Details of annexures	
	(a) A self-declaration on notarized affidavit to the effect that i. the lift or escalator commissioned is of the same make and manufacturer as declared at the time of registration, ii. the place of commissioning of the lift or escalator is same as declared at the time of registration, iii. the commissioning agency is same as declared at the time of registration.	
	(b) In case there is any change in the make and manufacturer of the lift or escalator and/or place of commissioning and/or commissioning agency, the owner shall update the details as declared in the format as in Annexure-1	
	(c) A self-declaration on notarized affidavit to the effect that he shall comply with all provisions of the Act and Rules during day-to-day operation of lift or escalator and cooperate with the authorities as and when required.	
	(d) Affidavit of the manufacturer on notarized affidavit that the lift or escalator commissioned is BIS compliant and also compliant of the	

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	provisions of the UP Lift and Escalators Act, 2024 especially all the safety features as enumerated in the Act and Rules.	
	(e) Affidavit of the commissioning agency on notarized affidavit that the lift or escalator has been commissioned properly as per manufacturer guidelines and in compliance of prevailing National and/or State Building Codes and that all safety features as enumerated in the Act and Rules have been built in the lift or escalator.	
	(f) Details of Fee Payment as desired in the Rules.	
	(g) Separate declarations on notarized affidavit by the three undersignees that the information provided with respect to them as above is true to their knowledge and nothing has been concealed.	
4	Suggestive useful life of the operation of the lift or escalator	
5	Whether the owner/operator of the lift or escalator has been duly trained by the manufacturer/Commissioning/modifying/altering/shifting agency to operate the machine especially its rescue systems	

Signature of the
authorized representative
of manufacturer with date

Signature of the authorized
representative of commissioning
/modifying/altering/shifting agency
with date

Signature of the
owner/his authorized
agent with date

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Annexure-3

{see rule 6(1)}

AMC details for the lift or escalator installed in public premises

(To be submitted to the local Assistant Director, Electrical Safety)

1	Registration Number	
2	The date of commissioning of the lift or escalator	
3	The date on which the lift or escalator came into operation	
4	If it is a new lift or escalator, the date till which free maintenance service by manufacturer or commissioning/modifying/ altering/shifting agency will be available	
5	Name, address and registration number of the agency responsible for AMC	
6	Whether agency responsible for AMC is an authorized vendor of OEM	
7	Date of commencement of the AMC	
8	Date on which AMC expires	
9	Type of AMC	
	(a) Comprehensive	
	(b) Semi Comprehensive	
	(c) Non Comprehensive	
10	A copy of the AMC shall be shared	
11	Separate declarations on notarized affidavit by the two undersignees that the information provided with respect to them as above is true to their knowledge and nothing has been concealed.	

Signature of the authorized
agent of the agency responsible
for AMC with date

Signature of the owner/his
authorized agent with date

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Annexure-4

{see rule 6(2) & 6(6)}

Logbook details for maintenance of the lift or escalator installed in public premises

(To be kept near the lift or escalator installed)

Part-A To be printed on page-1 of the logbook

1	Registration Number	
2	The date of commissioning of the lift or escalator	
3	The date on which the lift or escalator came into operation	
4	If it is a new lift or escalator, the date till which free maintenance service by manufacturer or commissioning/modifying/ altering/shifting agency will be available	
5	Name, address and registration number of the agency responsible for AMC	
6	Whether agency responsible for AMC is an authorized vendor of OEM	
7	Date of commencement of the AMC	
8	Date on which AMC expires	
9	Type of AMC	
	(a) Comprehensive	
	(b) Semi Comprehensive	
	(c) Non Comprehensive	

Part-B To be printed on subsequent pages

Sl No	Date and Time of visit of AMC Team	Name of the Team Leader	Works attended by AMC Team	Any suggestion for the owner for corrective measure for smooth operation of lift or escalator	Date on which the suggestion has been complied with	The date when next visit of AMC Team is due	Signature of the Team Leader of AMC Team

Part-C To be printed on separate set of subsequent pages

Sl No	Name of the operator	Problems faced by him in operation during his duty hours	Date and Time of the entry of the operator	Whether the problem has been attended to or resolved? If yes, date and time?	Signature of the Team Leader of AMC Team

Annexure-5

{see rule 7(2)}

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Application Form for Registration of Manufacture of lift or escalator

(To be submitted to the Director, Electrical Safety, UP)

1	Details of the Manufacturer (OEM)	
	(a)	Name
	(b)	Legal Status (whether company or partnership firm or anything else) and its incorporation details like registration number with the competent authority and date thereof
	(c)	Registered office address
	(d)	Address of Production Centers in India
	(e)	Name and designation of the contact person
	(f)	Mobile Number of the contact person
	(g)	Mail-id of the contact person
	(h)	GST Number
	(i)	PAN
	(j)	Product Range (Please provide the detailed catalogue)
2	Details of all the local vendors of the OEM in Uttar Pradesh	
	(a)	Name
	(b)	Legal Status (whether company or partnership firm or anything else) and its incorporation details like registration number with the competent authority and date thereof
	(c)	Registered office address
	(d)	Local Office Address
	(e)	Name and designation of the contact person
	(f)	Mobile Number of the contact person
	(g)	Mail-id of the contact person
	(h)	GST Number
	(i)	PAN
3	Details of the authorized person making this application on behalf of the OEM	
	(a)	Name
	(b)	Address
	(c)	Name and designation
	(d)	Mobile Number

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	(e)	Mail-id	
4	(a)	Whether it is first time registration	
	(b)	If yes, the details of fee payment	
5	(a)	Whether it is renewal of registration	
	(b)	The Registration Number	
	(c)	The date till which the last registration is valid for	
	(d)	Whether the renewal has been applied before the previous registration expires	
	(e)	The details of fee payment	
6	A Declaration on notarized affidavit by the undersignee that the information provided as above is true to his knowledge and nothing has been concealed.		

Signature of the authorized person of the OEM with date

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Annexure-6

{see rule 8(2)}

Application Form for Registration of Agencies responsible for AMC of lift or escalator

(To be submitted to the Director, Electrical Safety, UP)

1	Details of the Agency responsible for AMC		
	(a)	Name	
	(b)	Legal Status (whether company or partnership firm or anything else) and its incorporation details like registration number with the competent authority and date thereof	
	(c)	Registered office address	
		Nearest operational address	
	(d)	Name and designation of the contact person	
	(e)	Alternate name and designation of contact person	
	(f)	Mobile Number of the contact person	
	(g)	Mobile Number of the alternate contact person	
	(h)	Toll-free number if any	
	(i)	Mail-id	
	(j)	GST Number	
	(k)	PAN	
2	Details of the authorized person making this application on behalf of the Agency responsible for AMC		
	(a)	Name	
	(b)	Address	
	(c)	Name and designation	
	(d)	Mobile Number	
	(e)	Mail-id	
4	(a)	Whether it is first time registration	
	(b)	If yes, the details of fee payment	

1- यह शासनादेश इलेक्ट्रानिकली जारी किया गया है, अतः इस पर हस्ताक्षर की आवश्यकता नहीं है।

2- इस शासनादेश की प्रमाणिकता वेब साइट <http://shasanadesh.up.gov.in> से सत्यापित की जा सकती है।

5	(a)	Whether it is renewal of registration	
	(b)	The Registration Number	
	(c)	The date till which the last registration is valid for	
	(d)	Whether the renewal has been applied before the previous registration expires	
	(e)	The details of fee payment	
6	A Declaration on notarized affidavit by the undersignee that the information provided as above is true to his knowledge and nothing has been concealed.		

Signature of the authorized person
of the Agency responsible for
AMC with date

Annexure-7

(see rule 9)

Logbook details for accidents of the lift or escalator installed in public premises

(To be kept near the lift or escalator installed)

A. To be printed on page-1 of the logbook

1	Registration Number	
2	The date of commissioning of the lift or escalator	
3	The date on which the lift or escalator came into operation	
4	If it is a new lift or escalator, the date till which free maintenance service by manufacturer or commissioning/modifying/ altering/shifting agency will be available	
5	Name, address and registration number of the agency responsible for AMC	
6	Whether agency responsible for AMC is an authorized vendor of OEM	
7	Date of commencement of the AMC	
8	Date on which AMC expires	
9	Type of AMC	
	(a) Comprehensive	
	(b) Semi-Comprehensive	
	(c) Non-Comprehensive	

B. To be printed on subsequent pages

Sl No	Date and Time of accident	Details of the accident	Details of loss of or injury to human or animal life	Whether competent authority as under section-12(1) has been informed by the owner or any other person duly authorized by the owner. If yes, whom and when?	Date and time on which some state authority visited the accident site first. Name and designation of the visiting authority?	The date of the order of the District Magistrate after the inquiry of the accident and details of the order as regards compensation	Whether due compensation has been disbursed by the owner	Signature of the owner or any other person duly authorized by the owner.
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1- यह शासनादेश इलेक्ट्रॉनिकली जारी किया गया है, अतः इस पर हस्ताक्षर की आवश्यकता नहीं है।

2- इस शासनादेश की प्रमाणिकता वेब साइट <http://shasanadesh.up.gov.in> से सत्यापित की जा सकती है।

<http://shasanadesh.up.gov.in>

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- 1- यह शासनादेश इलेक्ट्रानिकली जारी किया गया है, अतः इस पर हस्ताक्षर की आवश्यकता नहीं है ।
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